

**California Capital Financial Development Corporation
Personal Financial Statement**

Name _____ **PFS - As of** _____, _____ (date)

California Capital FDC uses the information required by this PFS as one of a number of data sources in analyzing the repayment ability and credit-worthiness of an applicant for a loan. Failure to provide the information would impact California Capital's decision on your application. This form must be completed by (1) sole proprietor; (2) any owner of 20% or more equity of the Applicant Business, whether a Corporation, Partnership or Limited Liability Company; and (3) any person providing a guaranty on the loan.

Name _____ Business Phone _____
 Business Address _____ Home Phone _____
 City, State & Zip Code _____ County _____
 Business Name of Applicant _____

ASSETS		Liabilities	
Cash on Hand and in Banks.....	_____	Personal Debts Owed to Others.....	_____
Savings Accounts.....	_____	Total Balance of Credit Cards.....	_____
IRA and Other Retirement Accounts.....	_____	... (Describe in Section 2)	_____
(Describe in Section 5)		Total Balance all Auto Loans.....	_____
Accounts & Notes receivable.....	_____	Mo. Payments _____	
(Describe in Section 5)		Total Balance of Loans Owed to Banks & Others..	_____
Life Insurance – Cash Surrender Value.....	_____	Mo. Payments _____	
(Describe in Section 8)		Balance of Real Estate Owed.....	_____
Stocks and Bonds.....	_____	(Describe in Section 4)	
(Describe in Section 3)		Unpaid Taxes.....	_____
Value of Real Estate.....	_____	(Describe in Section 6)	
(Describe in Section 4)		Other Liabilities including monthly rent.....	_____
Value of Automobiles.....	_____	(Describe in Section 7)	
See Section 5 and include Year/Make/Model		Total Liabilities.....	\$ 0.00
Other Personal Property.....	_____	Net Worth.....	\$ 0.00
(Describe in Section 5)		** Totals must equal each other	
Other Assets.....	_____	Total	\$ 0.00
(Describe in Section 5)			
Total	\$ 0.00		

Section 1. Source of Income.	Contingent Liabilities
Salary.....	As Endorser or Co-Maker.....
Net Investment Income.....	Legal Claims & Judgments.....
Real Estate Income.....	Provision for Federal Income Tax.....
**Other Income (Describe below).....	Other Special Debt.....

Description of Other Income in Section 1.

Section 2. Notes Payable to Banks and Others. (Use attachments as needed and identify each as part of this statement and sign)

Name & Address of Noteholders	Original Balance	Current Balance	Payment Amount	Frequency (Monthly, etc)	How Secured or Endorsed Type of Collateral

** Alimony or child support should not be disclosed in "Other Income" unless it is desired to have such payments counted toward total income

Please note: Information on this form must include assets and liabilities of owner's or guarantor's spouse and minor children

Section 8. Life Insurance Held. (Give face amount and cash surrender value of policies - name of insurance company and Beneficiaries.)

I authorize California Capital Financial Development Corporation to make inquiries as necessary to verify the accuracy of the statements made and to determine my creditworthiness.

CERTIFICATION: (to be completed by each person submitting the information requested on this form and the spouse of any 20% or more owner when spousal assets are included.)

By signing this form, I certify under penalty of criminal prosecution that all information on this form and any additional supporting order information submitted with this form is true and complete to the best of my knowledge. I understand that California Capital Financial Development Corporation will rely on this information when making decisions regarding an application for a loan.

Signature _____

Date _____

Print Name _____

Social Security No. _____

Signature _____

Date _____

Print Name _____

Social Security No. _____

**NOTICE TO LOAN APPLICANTS: CRIMINAL PENALTIES AND ADMINISTRATIVE
REMEDIES FOR FALSE STATEMENTS:**

Knowingly making a false statement on this form is a violation of Federal law and could result in criminal prosecution, significant civil penalties, and a denial of your loan application. A false statement is punishable under 18 U.S.C. §§ 1001 and 3571 by imprisonment of not more than five years and/or a fine of up to \$250,000; under 15 U.S.C. § 645 by imprisonment of not more than two years and/or a fine of not more than \$5,000; and, if submitted to a Federally-insured institution, a false statement is punishable under 18 U.S.C. § 1014 by imprisonment of not more than thirty years and/or a fine of not more than \$1,000,000. Additionally, false statements can lead to treble damages and civil penalties under the False Claims Act, 31 U.S.C. § 3729, and other administrative remedies including suspension and debarment.

Please fill out this form, print it and sign and return to the California Capital Lending Team -- attach additional pages as necessary to complete the information needed